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Commissioner for Patents, Washington, D.C. 20231, on

May 7, 2002

Date of Deposit

John G. Rauch, Reg. No. 37,218

Name of Applicant, Assignee or
Registered Representative

Signature

5/7/02

Date of Signature

Our Case No.: 9623/179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Phillip G. Rorex et al.

Serial No.: 09/575,894

Filing Date: May 22, 2000

For: METHOD AND APPARATUS FOR
IDENTIFYING SEARCHES IN A
DATABASE SEARCH SYSTEM

Examiner: TBA

Group Art Unit: 2762

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

US PATENTS

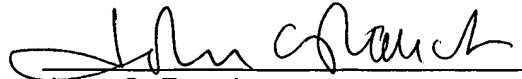
| DOCUMENT NUMBER | DATE | NAME |
|--------------------|------------|-----------------|
| 5,799,284 | 8/25/1998 | Bourquin |
| 5,819,092 | 10/06/1998 | Ferguson et al. |
| 5,850,442 | 12/15/1998 | Muftic |
| 6,029,195 | 2/22/2000 | Herz |
| 6,128,623 | 10/3/2000 | Mattis et al. |
| 6,154,738 | 11/28/2000 | Call |
| US 6,233,575 B1 | 5/15/2001 | Agrawal et al. |
| US 6,247,021 B1 | 6/12/2001 | Himmel et al. |
| US 6,253,188 B1 | 6/26/2001 | Witek et al. |
| US 6,269,361 B1 | 7/31/2001 | Davis et al. |

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 23-1925.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John G. Rauch", written over a horizontal line.

John G. Rauch
Registration No. 37,218
Attorney for Applicants

May 7, 2002

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
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|---|--------------------------|-------------------------|---------------------|
| TRANSMITTAL LETTER | | | Case No: 9623/179 |
| Serial No. 09/575,894 | Filing Date May 22, 2000 | Examiner To Be Assigned | Group Art Unit 2762 |
| Inventor(s) Phillip G. Rorex et al. | | | |
| Title of Invention METHOD AND APPARATUS FOR IDENTIFYING SEARCHES IN A DATABASE SEARCH SYSTEM | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is: Transmittal Letter (in duplicate); Supplemental Information Disclosure Statement; PTO 1449; copy of cited references A1 - A10 and return post card.


- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

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| | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | Rate | Add'l Fee |
| Total | | Minus | | | x \$9= | | x \$18= | |
| Indep. | | Minus | | | x 39= | | x \$78= | |
| First Presentation of Multiple Dep. Claim | | | | | +\$130 = | | + \$260= | |
| | | | | | Total add'l fee | \$ | total add'l fee | \$ |

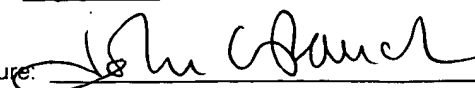
- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


John G. Rauch
Registration No. 37, 218
Attorney for Applicants

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Date: 5/7/02 Signature: 
rev. Dec.-99